Introductory sub-table (I)(a)

Name of the Company: Nalwa Sons Investments Limited Scrip Code, Name of the scrip, class of security: BSE: 532256, NSE: NSIL (Equity Shares) Quarter ended: 30.9.2013

	1		
Partly paid - up shares	No. of partly paid -up shares	As a % of total no. of	As a % of total no. of
,, , , , , , , , , , , , , , , , , , , ,		partly paid-up shares	shares of the company
		party palu-up silares	shares of the company
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	
iotai		-	-
Outstanding Convertible Securities	No. of outstanding securities	As a % of total no. of outstanding convertible securities	As a % of total no. of shares of the company assuming full conversion of convertible securities
		securities	
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total			
Iotai	-	-	-
Warrnats:	No. of warrants	As a % of total no. of warrants	As a % of total no. of shares of the company assuming full conversion of warrants
Held by promoters / promoter group	-	-	-
Held by public	-	-	-
Total	-	-	_
Total paid-up capital of the			
		162	
company, assuming full	Rs.5,13,61,630/- divided into 51,36,	103 equity snares of Rs.10/- ea	cn.
conversion of warrants and			
convertible securities			

				ient Showing Shareholdii Table (I)(a)	ng Pattern			
Category code	Category of shareholder	No. of shareholders	Total no. of shares	No. of shares held in dematerialized form	Total shareholding as a % of total no. of shares	; 	Shares pledged or otherwise encumbered	
					As a % of (A+B)	As a % of (A+B+C)	Numer of shares	As a % of
(1)	(11)	(111)	(IV)	(∨)	(VI)	(VII)	(VIII)	(IX)=(VIII)/(IV)*100
(A)	Shareholding of promoter & promoter group							
	Indian							
(a)	Individuals /HUF	22	40,271	40,271	0.78	0.78	-	-
(b)	Central Govt/State Govt.	-	-	-	-	-	-	-
(c)	Bodies Corporates	19	2,811,889	*2799168	54.75	54.75	-	-
(d)	Financial Institutions /Banks	-	-	-	-	-	-	-
(e)	Any Other	-	-	-	-	-	-	-
	Sub Total (A)(1)	41	2,852,160	2,839,439	55.53	55.53	-	-
2	Foreign							
(a)	Individuals (Non Resident Individuals/Foreign Ind)	1	4,250	4,250	0.08	0.08	-	-
(b)	Bodies Corporates	-	-	-	-	-	-	-
(c)	Institutions	-	-	-	-	_	-	-
(d) (e)	Qualified Foreign Investor Any other	-	-	-	-	-	-	-
(e)	Sub Total (A)(2)	1	4,250	4,250	0.08	0.08		-
	Total shareholding of promoter and promoter	1	4,230	4,230	0.00	0.00		_
	group (A) = $(A)(1)+(A)(2)$	42	2,856,410	2,843,689	55.61	55.61	-	
(B)	Public shareholding	12	2,000,110	2,010,000	55101	55101	N.A.	N.A.
1	Institutions						N.A.	N.A.
(a)	Mutual Funds /UTI	11	1,358	523	0.03	0.03		
(b)	Financial institutions /Banks	12	1,312	193	0.03	0.03		
(c)	Central Govt. /State Govt.	-	-	-	-	-		
(d)	Venture Capital Funds	-	-	-	-	-		
(e)	Insurance Companies	2	28,126	28,126	0.55	0.55		
(f)	Foreign Institutional investors	10	216,901	216,200	4.22	4.22		
(g)	Foreign Venture capital Investors	-	-	-	-	-		
(h)	Qualified Foreign Investor	-	-	-	-	-		
(i)	Any other	-	-	-	-	-		
	Sub Total (B) (1)	35	247,697	245,042	4.82	4.82		
B2	Non Institutions						N.A.	N.A.
(a)	Bodies Corporates	337	143,990	139,875	2.80	2.80		
(b)	Individuals							
1	Individuals shareholders holding	24,556	721,761	500,776	14.05	14.05		
Ш	nominal share capital up to Rs. 1 lakh	5	1 100 205	1 100 205	22.71	22.71		
п	Individuals shareholders holding nominal share capital in excess of Rs. 1 lakh	5	1,166,305	1,166,305	22.71	22.71		
(c)	Qualified Foreign Investor	-		-	_	-		
(c) (d)	Any other	-	-	-	-	-		
(u)	Sub Total (B) (2)	24,898	2,032,056	1,806,956	39.56	39.56		-
	Total Public Shareholding (B)= (B)(1)+(B)(2)	24,933	2,032,030	2,051,998	44.39	44.39	N.A.	N.A.
	Total (A)+(B)	24,935	5,136,163	4,895,687	100.00	100.00	1973	14.65
(C)	Shares held by custodians and against which	-	-	-	-	-	N.A.	N.A.
(-)	GDS have been issued							
1	Promoter & promoter group	-	-	-	-	-		
2	Public	-	-	-	-	-		
	Grand Total (A)+(B)+(C)	24,975	5,136,163	4,895,687	100.00	100.00	-	-

Note: *12721 equity shares (28,11,889 - 2799168) held by bodies corporate as shown under Indian promoter group in physical mode are sub-judice and therefore could not been demateralized till further direction of the Hon'ble Court.

(I)(b) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Promoter & Promoter group"

Sr. (I) 1				1							
(I) 1								As a % of		As a % of total	Total shares (including
(I) 1								total number		number of	underlying shares assuming full
(I) 1			As a % of grand			As a % of grand		of warrants of	Number of	convertible	conversion of warrants and
(I) 1			total (A)+(B)+(C) of		As a % of	total (A)+(B)+(C) of	Number of	the same	Convertible	securities of	convertible securities) as a % of
(I) 1	Name of shareholder	No. of shares	sub-clause (I)(a)	No. of shares	(VI)=(V)/(III)*100	sub-clause (I)(a)	warrants held	class	securities held	the same class	diluted share capital
1	(II)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)	(IX)	(X)	(XI)	(XII)
	ABHINANDAN INVESTMENT LTD	44,050	0.86	-	-	-	-	-	-	-	0.86
2	ABHYUDAY JINDAL	1,206	0.02	-	-	-	-	-	-	-	0.02
3	ARTI JINDAL	781	0.02	-	-	-	-	-	-	-	0.02
4	COLORADO TRADING CO LTD	112,601	2.19	-	-	-	-	-	-	-	2.19
5	DEEPIKA JINDAL	3,760	0.07	-	-	-	-	-	-	-	0.07
6	EVER PLUS SECURITIES & FINANCE LIMITED	62,861	1.22	-	-	-	-	-	-	-	1.22
7	GAGAN TRADING CO LTD	133,248	2.59	-	-	-	-	-	-	-	2.59
8	GOSWAMIS CREDITS & INVESTMENT LIMITED	47,658	0.93	-	-	-	-	-	-	-	0.93
9	HEXA SECURITIES & FINANCE CO LTD	267,723	5.21	-	-	-	-	-	-	-	5.21
10	JINDAL EQUIPMENT LEAS & CONS SERV LTD	311,393	6.06	-	-	-	-	-	-	-	6.06
11	JINDAL SOUTH WEST HOLDINGS LTD	25,014	0.49	-	-	-	-	-	-	-	0.49
12	MANJULA FINANCES LIMITED	54,923	1.07	-	-	-	-	-	-	-	1.07
13	MANSAROVER INVESTMENTS LTD	206,158	4.01	-	-	-	-	-	-	-	4.01
14	MEREDITH TRADERS PVT LTD	22,922	0.45	-	-	-	-	-	-	-	0.45
15	NALWA ENGINEERING CO LTD	40,572	0.79	-	-	-	-	-	-	-	0.79
16	NALWA INVESTMENT LTD	92,683	1.80					-		-	1.80
17	NAVEEN JINDAL	692	0.01	-	-	-	-	-	-	-	0.01
18	NAVEEN JINDAL & SONS HUF	5,854	0.11					-		-	0.11
19	PARTH JINDAL	1,497	0.03					-		-	0.03
20	PRITHAVI RAJ JINDAL	1,698	0.03	-			-	-		-	0.03
20	PRITHAVI RAJ JINDAL (HUF)	3,163	0.06	-			-	-		-	0.06
22	RATAN JINDAL	4,024	0.08					-		-	0.08
23	R.K. JINDAL & SONS HUF	756	0.01					-		-	0.01
24	RENUKA FINANCIAL SERVICES LIMITED	48,137	0.94	_				_	_		0.94
25	ROHIT TOWERS BUILDING LTD	1,694	0.03	_				_	_		0.03
26	SAJJAN KUMAR JINDAL	2,592	0.05								0.05
20	SAJJAN KUMAR JINDAL (HUF)	1,809	0.03	_			_	_			0.04
28	SANGEETA JINDAL	2,547	0.05	-			-	-		-	0.05
20	SARIKA JHUNJHUNWALA	4,250	0.03								0.08
30	SAROJ BHARTIA	4,230	0.00								0.00
31	SAVITRI JINDAL	4,815	0.00	_	-	-	_	-	-	-	0.09
32	SEEMA JAJODIA	4,813	0.09								0.09
33	SMINU JINDAL	2,382	0.05	_	-	-	_	-	-	-	0.05
33	STAINLESS INVESTMENTS LTD	78,338	1.53	-	-	-	-	-	-	-	1.53
	SUN INVESTMENTS LIMITED			-	-	-	-	-	-	-	9.83
35 36		504,738 652	9.83	-	-	-	-	-	-	-	9.83
36	TANVI JINDAL TARINI JINDAL	652	0.01 0.01	-	-	-	-	-	-	-	0.01
38	TRIPTI JINDAL	662	0.01	-	-	-	-	-	-	-	0.01
39		48	0.01	-	-	-	-	-	-	-	0.00
		-		-	-	-	-	-	-	-	
40		631	0.01	-	-	-	-	-	-	-	0.01
41 42	VRINDAVAN SERVICES PRIVATE LIMITED	268,565	5.23	-	-	-	-	-	-	-	5.23 9.51
42	GROOVY TRADING PRIVATE LIMITED	488,611	9.51	-	-	-	-	-	-	-	9.51
,	TOTAL	2,856,410	55.61	-	-	-	-	-	-	-	55.61

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

		Details of	f Shares held	Details of	warrants	Details of conve	rtible securities	
							As a % of total	Total shares (including
					As a % of total		number of	underlying shares assuming full
			As a % of grand total		number of	Number of	convertible	conversion of warrants and
			(A)+(B)+(C) of sub-	Number of	warrants of	Convertible	securities of the	convertible securities) as a % of
Sr.	Name of shareholder	No. of shares	clause (I)(a)	warrants held	the same class	securities held	same class	diluted share capital
(1)	(11)	(111)	(IV)	(VIII)	(IX)	(X)	(XI)	(XII)
1	RICKY ISHWARDAS KIRPLANI	405,511	7.90	-	-	-	-	7.90
2	KESWANI HARESH	304,830	5.93	-	-	-	-	5.93
3	ANIL ARYA	249,285	4.85	-	-	-	-	4.85
4	VAISHALLI ARYA	193,316	3.76	-	-	-	-	3.76
5	ACACIA PARTNERS LP	79,236	1.54	-	-	-	-	1.54
6	ACACIA INSTITUTIONAL PARTNERS, LP	89,082	1.73	-	-	-	-	1.73
7	IL AND FS TRUST CO LTD	96,512	1.88					1.88
	TOTAL	1,417,772	27.60	-	-	-	-	27.60

(I)(c)(ii) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with</u> PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the company

		Details o	f Shares held	Details of	fwarrants	Details of conve	rtible securities	
							As a % of total	Total shares (including
					As a % of total		number of	underlying shares assuming full
			As a % of grand total		number of	Number of	convertible	conversion of warrants and
	Name(s) of the shareholder(s) and the Person actong in		(A)+(B)+(C) of sub-	Number of	warrants of	Convertible	securities of the	convertible securities) as a % of
Sr.	Concert (PAC) with them	No. of shares	clause (I)(a)	warrants held	the same class	securities held	same class	diluted share capital
(1)	(11)	(111)	(IV)	(VIII)	(IX)	(X)	(XI)	(XII)
1	RICKY ISHWARDAS KIRPLANI (alongwith PAC)	818,813	15.94	-	-	-	-	15.94
	Keswani Haresh - 3,04,830							
	Ricky Ishwardas Kirplani - 4,05,511							
	Johnny Kirplani - 3,176							
	Alisha Kirplani - 5,584							
	Pooja Vijay Assomull - 3,200							
	IL AND FS Trust Co. Ltd 96512							
	Total - 8,18,813							
2	ANIL ARYA (alongwith PAC)	442,601	8.62	-	-	-	-	8.62
	Anil Arya - 2,49,285							
	Vaishalli Arya - 1,93,316							
	Total - 4,42,601							
1	TOTAL	1,261,414	24.56	-	-	-	-	24.56

(1)(d) Statement showing details of locked in shares

Sr. no.	Name of shareholder		Locked in shares as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above	Promoter / Promoter Group /Public
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(a) Statement showing details of Depository Receipts (DRs)

Sr. no.	Type of outstanding DR (ADRs, GDRs , SRDs, etc.	No. of outstanding DRs	outstanding DRs	Shares underlying outstanding DRs as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above
	NIL	NIL	NIL	NIL
	TOTAL			

(II)(b) Statement showing holding of Depository Receipts (DRs) where underlying shares held by promoter/promoter group are in excess of 1% of the total number of shares

Sr. no.	Name of DR holder	Type of outstanding DR (ADRs, GDRs SDR, etc.)	outstanding shares	Shares underlying outstanding DRs as a % of total no. of shares I.e. Grand total (A)+(B)+(C) indicated in statement at para (1)(a) above
	NIL	NIL	NIL	NIL
	TOTAL			

III (a) Statement showing the voting pattern of the shareholders, if more than one class of shares /securities is issued by the issuer:

Category	Category of	No. o	f voting ı	right held	Total voting right	Total voting Right I.e. (VI)		
code	shareholder	in ead	in each class of security		(III+IV+V)	As a % of	As a % of	
			Class			(A+B)	(A+B+C)	
		х	Y	z				
(I)	(11)	(111)	(IV)	(V)	(VI)	(VII)	(VIII)	